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According to the Money Laundering Act, it is required for the Bank to apply customer due diligence "Know-Your-Customer". It is necessary for the Bank to collect information about all customers and their intended purpose of the banking relation. We kindly ask you to provide information in this form and furthermore the Bank is obliged to demand additional documentation upon need. According to the regulations in FATCA and CRS (Common Reporting Standard – international Tax reporting) banks are committed to collect information about tax residence country and Tax Identification Number (TIN).

The Bank may not establish a new customer relationship until the documentation is collected. The Bank is obliged to maintain updated information and documentation about customers

Name, address etc.	Name	
	Postal address	Postal number and city
	Business address (according to certificate of registration)	Postal number and city
	Visiting address (if other than above)	Postal number and city
	NACE-code (industry code)	Customer's e-mail address
	Telephone	Customer's web address
	Is the company listed on a stock exchange? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, which stock exchange is the company listed on?	
Tax matters	Tax residence country	Tax Identification Number (TIN)
	<input type="checkbox"/> The customer does not have a TIN number to state	
	Comment:	
	<input type="checkbox"/> I do not have a TIN number	
	Tax residence country	Tax Identification Number (TIN)
	<input type="checkbox"/> The customer does not have a TIN number to state	
	Comment:	
	<input type="checkbox"/> I do not have a TIN number	
	Tax residence country	Tax Identification Number (TIN)
	<input type="checkbox"/> The customer does not have a TIN number to state	
	Comment:	
	<input type="checkbox"/> I do not have a TIN number	
Tax residence country	Tax Identification Number (TIN)	
<input type="checkbox"/> The customer does not have a TIN number to state		
Comment:		
<input type="checkbox"/> I do not have a TIN number		

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Tax matters	Tax residence country		Tax Identification Number (TIN)			
	<input type="checkbox"/> The customer does not have a TIN number to state Comment:					
	<input type="checkbox"/> I do not have a TIN number					
<input type="checkbox"/> I hereby confirm that the information about Tax residency and Tax Identification Number (TIN) are correct and complete						
Purpose of the entity	Purpose					
	Does the customer offer payment processing services or act as an agent for payment processing companies? <input type="checkbox"/> Yes <input type="checkbox"/> No					
	Does the customer trade with virtual currency, like Bitcoin etc.? <input type="checkbox"/> Yes <input type="checkbox"/> No (if Yes, specify below)					
	Type and purpose	Expected monthly amount in NOK		Expected monthly amount sold		
Purpose of the banking relationship	<input type="checkbox"/> Nordea Start		<input type="checkbox"/> Nordea Plus		<input type="checkbox"/> Nordea Pro	
	<input type="checkbox"/> Account for payment transactions and liquidity / insurance		<input type="checkbox"/> Account for tax payments		<input type="checkbox"/> Foreign exchange	
	<input type="checkbox"/> Currency account – state the currency(ies):		<input type="checkbox"/> Netbank corporate		<input type="checkbox"/> Netbank company	
	<input type="checkbox"/> Savings products		<input type="checkbox"/> Stock trading		<input type="checkbox"/> Cash pool	
	<input type="checkbox"/> Loan/Credit		<input type="checkbox"/> Insurance		<input type="checkbox"/> Cash Deposit machine	
	<input type="checkbox"/> E-Payment		<input type="checkbox"/> Debet card		<input type="checkbox"/> Card redemption	
	<input type="checkbox"/> Credit card		<input type="checkbox"/> Bond issuance		<input type="checkbox"/> Structured products	
	<input type="checkbox"/> Interest swap		<input type="checkbox"/> Trade Finance reimburs		<input type="checkbox"/> FX Forwards	
	<input type="checkbox"/> Trade finance guaranty		<input type="checkbox"/> Trade Finance direct collection		<input type="checkbox"/> Other	
	<input type="checkbox"/> Trade Finance document collection					
	All legal entities trading derivatives, currencies, bonds, equities, ETFs or other financial instruments traded on a trading venue need to have a LEI code					
	LEI code: _____					
	Does the company expect regular transactions to/from its accounts in Nordea? <input type="checkbox"/> Yes <input type="checkbox"/> No					
	Ca monthly amount in NOK					
	In _____					
Out _____						
Will the company transfer money abroad? <input type="checkbox"/> Yes <input type="checkbox"/> No						
If yes, please specify which country(ies)		Expected monthly amount		Purpose		
Will the company receive money from abroad? <input type="checkbox"/> Yes <input type="checkbox"/> No						
If yes, please specify which country(ies)		Expected monthly amount		Purpose		

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Origin of funds	Source of funds
	<input type="checkbox"/> Products sold <input type="checkbox"/> Services sold <input type="checkbox"/> Payments from suppliers <input type="checkbox"/> Subsidies <input type="checkbox"/> No regular income Description:
Ownership structure	Source of capital
	<input type="checkbox"/> Trading profits <input type="checkbox"/> Return on investments <input type="checkbox"/> Gift/subsidy <input type="checkbox"/> Loan from shareholder <input type="checkbox"/> Capital injections <input type="checkbox"/> Real estate sold <input type="checkbox"/> Business sold <input type="checkbox"/> Disposal of assets <input type="checkbox"/> Loan from financial institutions <input type="checkbox"/> No wealth Description:
	Is the company fully or partially owned by another company? <input type="checkbox"/> Yes <input type="checkbox"/> No
	In which countries are the company's operations conducted?

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Beneficial owners and other related actors	Are there any beneficial owners? <input type="checkbox"/> Yes <input type="checkbox"/> No
	Please provide information below regarding beneficial owners, board members, CEO and Authorized signatories. Mark the relevant role(s), and whether the person is a Political Exposed Person or not (ref appendix).

Name	Personal ID No	Date of birth	Role
Postal address	Nationality(ies)	Country of birth	<input type="checkbox"/> Beneficial owner
Postal number and city	Country		<input type="checkbox"/> Board member
			<input type="checkbox"/> CEO
			<input type="checkbox"/> Authorized signatories

Stock or number of voting rights in %

Describe controlling influence (if less than < 25 % ownership)

Political Exposure

Are you or have you been a political exposed person (PEP)?
 Yes No

If yes, please specify:
 Specify position, country, employer and period you are (were) employed in the position:

Does the person have other regular payments to their account in Nordea besides potential salary from their position? Yes No

Type	Comment

Political Exposure – (Relative/Close Associate)

Does the person have an immediate family member or close associate that is or have been a political exposed person (PEP)?
 Yes No

If yes, please specify:
 Specify name and which relation you have to the person(s). Specify position, country and period the person(s) are (were) employed in the position:

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Name	Personal ID No	Date of birth	Role <input type="checkbox"/> Beneficial owner
Postal address	Nationality(ies)	Country of birth	<input type="checkbox"/> Board member
Postal number and city	Country		<input type="checkbox"/> CEO
			<input type="checkbox"/> Authorized signatories

Stock or number of voting rights in %

Describe controlling influence (if less than < 25 % ownership)

Political Exposure

Are you or have you been a political exposed person (PEP)?

Yes No

If yes, please specify:

Specify position, country, employer and period you are (were) employed in the position:

Does the person have other regular payments to their account in Nordea besides potential salary from their position? Yes No

Type	Comment

Political Exposure

Does the person have an immediate family member or close associate that is or have been a political exposed person (PEP)?

Yes No

If yes, please specify:

Specify name and which relation you have to the person(s). Specify position, country and period the person(s) are (were) employed in the position:



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Name	Personal ID No	Date of birth	Role <input type="checkbox"/> Beneficial owner
Postal address	Nationality(ies)	Country of birth	<input type="checkbox"/> Board member
Postal number and city	Country		<input type="checkbox"/> CEO
			<input type="checkbox"/> Authorized signatories

Stock or number of voting rights in %

Describe controlling influence (if less than < 25 % ownership)

Political Exposure

Are you or have you been a political exposed person (PEP)?

Yes No

If yes, please specify:

Specify position, country, employer and period you are (were) employed in the position:

Does the person have other regular payments to their account in Nordea besides potential salary from their position? Yes No

Type	Comment

Political Exposure

Does the person have an immediate family member or close associate that is or have been a political exposed person (PEP)?

Yes No

If yes, please specify:

Specify name and which relation you have to the person(s). Specify position, country and period the person(s) are (were) employed in the position:



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Name	Personal ID No	Date of birth	Role <input type="checkbox"/> Beneficial owner
Postal address	Nationality(ies)	Country of birth	<input type="checkbox"/> Board member
Postal number and city	Country		<input type="checkbox"/> CEO
			<input type="checkbox"/> Authorized signatories

Stock or number of voting rights in %

Describe controlling influence (if less than < 25 % ownership)

Political Exposure

Are you or have you been a political exposed person (PEP)?

Yes No

If yes, please specify:

Specify position, country, employer and period you are (were) employed in the position:

Does the person have other regular payments to their account in Nordea besides potential salary from their position? Yes No

Type	Comment

Political Exposure

Does the person have an immediate family member or close associate that is or have been a political exposed person (PEP)?

Yes No

If yes, please specify:

Specify name and which relation you have to the person(s). Specify position, country and period the person(s) are (were) employed in the position:



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Relation to the US	<input type="checkbox"/> Yes <input type="checkbox"/> No	The customer is an investment company more than 50% owned by the person(s) who are situated in the USA
	<input type="checkbox"/> Yes <input type="checkbox"/> No	The (company) consider itself as belonging to the USA, that means The customer is an agent or department of a non-American company, and is located in the USA
Attached original or notarised documents	<input type="checkbox"/> Certificate of registration (not older than 3 months) <input type="checkbox"/> Formation documents <input type="checkbox"/> Document providing elected/appointed management	<input type="checkbox"/> Documentation regarding beneficial owners <input type="checkbox"/> Valid identification of authorised signatories

Date	Completed by (name/position):
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Signature

For bank use only	Controlled by	Date	AC-no

Completed form (and attachments) to be returned to Nordea.

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Appendix

Beneficial owners

By beneficial owners are meant natural persons who ultimately own or control the customer and/or on whose behalf a transaction or activity is being carried out, e.g. if the person concerned:

- a) directly or indirectly owns or controls more than 25 percent of the shares or voting rights in a company, with the exception of companies that have financial instruments listed on a regulated market in an EEA state or are subject to disclosure requirements consistent with those that apply to listing on a regulated market in an EEA state,
- b) exercises control over the management of a legal entity in a manner other than that referred to in (a),
- c) according to statutes or other basis is the beneficiary of 25 percent or more of the assets of a foundation, trust or corresponding legal arrangement or entity,
- d) has the main interest in the establishment or operation of a foundation, trust or corresponding legal arrangement or entity, or
- e) exercises control of more than 25 percent of the assets of a foundation, trust or corresponding legal arrangement or entity.

Please enclose documentation regarding the structure of ownership and control of the legal entity, any beneficiaries or further interests as described under a)-e) above, including for example a shareholders' agreement stating the new shareholder structure. Please state date of birth, nationality and passport no for persons without a Norwegian personal identification number.

Politically exposed person

Politically exposed person: person who serves or has served in the position or function of:

1. head of State, head of government, minister and assistant minister;
2. member of national assembly;
3. member of a governing body of a political party;
4. member of a high-level judicial body, the decisions of which are not subject to further appeal, except in exceptional circumstances;
5. member of the board of an auditor general's office, a court of auditors or a central bank;
6. ambassador, chargé d'affaires or high-ranking officer of the armed forces;
7. member of an administrative, management or supervisory body of a State-owned enterprise;
8. director, member of the board or other person in the senior management of an international organisation.

Close family member: parent, spouse, registered partner, co-habitant and child, as well as child's spouse, registered partner or co-habitant.

Close associate: natural person who is known to:

1. have joint beneficial ownership of a legal entity, association or foreign legal arrangement with a politically exposed person;
2. have close business relations with a politically exposed person;
3. have sole beneficial ownership of a legal entity, association or foreign legal arrangement which has been set up for the de facto benefit of a politically exposed person.

Information about the customer data processing

As a data controller Nordea processes personal data to deliver the products and services that are agreed between the parties and for other purposes, such as to comply with laws and other regulations. For detailed information on Nordea's processing of personal data, please review Nordea's privacy policy, which is available on Nordea's website or by contacting Nordea. The privacy policy contains information about the rights in connections with processing of personal data, such as the access to information, rectification, data portability, etc.

Corporate customer shall forward Nordea's privacy policy to the individuals whose personal data it discloses to Nordea.